A Catalog of Refactorings to Discipline Preprocessor-Based Annotations

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```
this.dragon = Resources.getImage(Resources.IMG_DRAGON);
```

64kb, without flip

4Mb, without flip

100kb, with flip

```
private int[] xClouds = new int[]{20, 80, 40, 60};
```

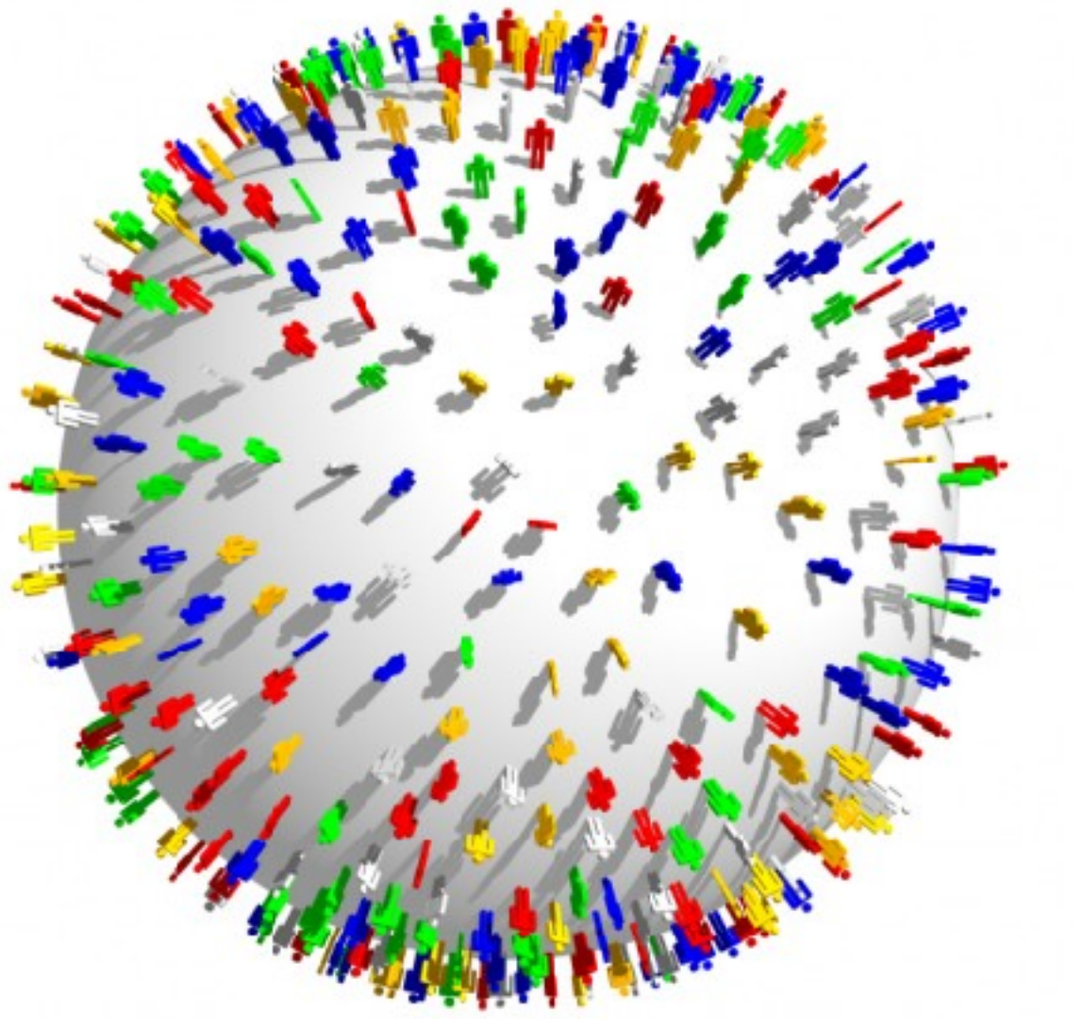
```
private int[] xClouds = new int[]{40, 140, 80, 110};
```

$$2^n$$

n = {CLOUD, RAIN}

Products = [{}, {CLOUD}, {RAIN}, {CLOUD, RAIN}]

33 features



One different product to each **person** in the world

Sven Apel, *Classifying and Unifying Product-Line Analyses*

```
.config - Linux/x86 3.17.3 Kernel Configuration
                    Linux/x86 3.17.3 Kernel Configuration
Arrow keys navigate the menu.  <Enter> selects submenus ---> (or empty submenus ----).  Highlighted letters are
hotkeys.  Pressing <Y> includes, <N> excludes, <M> modularizes features.  Press <Esc><Esc> to exit, <?> for
Help, </> for Search.  Legend: [*] built-in  [ ] excluded  <M> module  < > module capable

                [*] 64-bit kernel
                    General setup  --->
                [*] Enable loadable module support  --->
                [*] Enable the block layer  --->
                    Processor type and features  --->
                    Power management and ACPI options  --->
                    Bus options (PCI etc.)  --->
                    Executable file formats / Emulations  --->
                [*] Networking support  --->
                    Device Drivers  --->
                    Firmware Drivers  --->
                    File systems  --->
                    Kernel hacking  --->
                v(+)

                <Select>    < Exit >    < Help >    < Save >    < Load >
```

12.000

2

How to Implement?

```
void test() {
   // code 1
   #ifdef CLOUD
     // code 2
   #endif
   #ifdef RAIN
     // code 3
   #endif
   // code 4
}
```

!CLOUD and !RAIN
CLOUD and !RAIN
!CLOUD and RAIN

```
void test() {
   // code 1
   #ifdef CLOUD
     // code 2
   #endif
   #ifdef RAIN
     // code 3
   #endif
   // code 4
}
```

GCC

Lua

Vim

APACHE

ghostscript

ubuntu

FreeBSD

irssi
the client of the future

GZIP

php

Emacs

CVS

ClamAV

SQLite

libsrk

python

Solve portability problems

Simple technique

No *overheads*

Maintenance problems

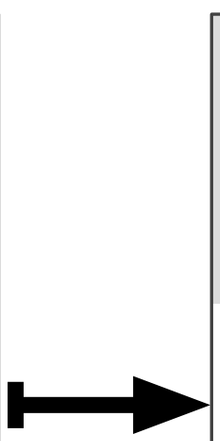
Poor understandability

Subtle to errors

#ifdefs

Undisciplined vs. Disciplined Annotations

```
#if (WIN32)
if ((ready = select(0, NULL, tp))
#else
if ((ready = select(max_fd + 1, NULL, tp))
#endif
      == -1) {
  ngx_log_error(NGX_LOG_ALERT);
  return NGX_ERROR;
  if (ready == -1) {
    err = ngx_socket_errno;
  } else {
    err = 0;
  }
}
```



```
#if (WIN32)
ready = select(0, NULL, tp);
#else
ready = select(max_fd + 1, NULL, tp);
#endif
if (ready == -1) {
  ngx_log_error(NGX_LOG_ALERT);
  return NGX_ERROR;
  if (ready == -1) {
    err = ngx_socket_errno;
  } else {
    err = 0;
  }
}
```

Previous work...

GPCE 2013

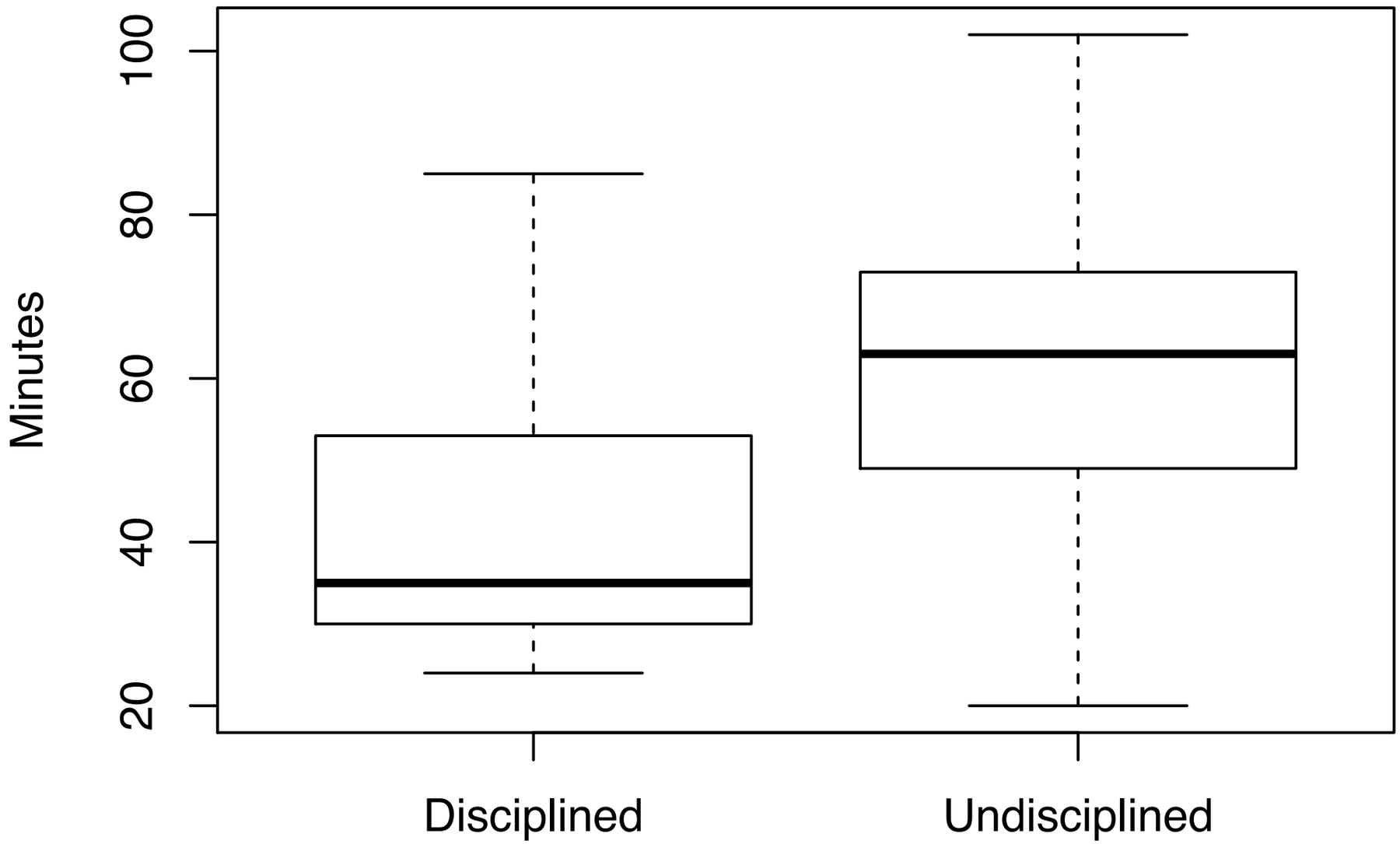
ness and response time. Our results indicate that the discipline of annotations has *no influence on program comprehension and maintenance*, neither for correctness nor for performance (in terms of response time). Although we observed some tendencies, they are not supported by our statistical analysis. However, our experiment

```
#if defined(FEAT_XCLIPBOARD) || defined(USE_XSMP) || defined(FEAT_MZSCHEME)
    static int busy = FALSE;

#if defined(HAVE_GETTIMEOFDAY) && defined(HAVE_SYS_TIME_H)
    if (msec > 0 && (
#ifdef FEAT_XCLIPBOARD
        xterm_Shell != (Widget)0
#if defined(USE_XSMP) || defined(FEAT_MZSCHEME)
        ||
#endif
#endif
#ifdef USE_XSMP
        xsmp_icefd != -1
#ifdef FEAT_MZSCHEME
        ||
#endif
#endif
#ifdef FEAT_MZSCHEME
    (mzthreads_allowed() && p_mzq > 0)
#endif
        ))
    gettimeofday(&start_tv, NULL);
#endif
    if (busy)
      return 0;
#endif
```

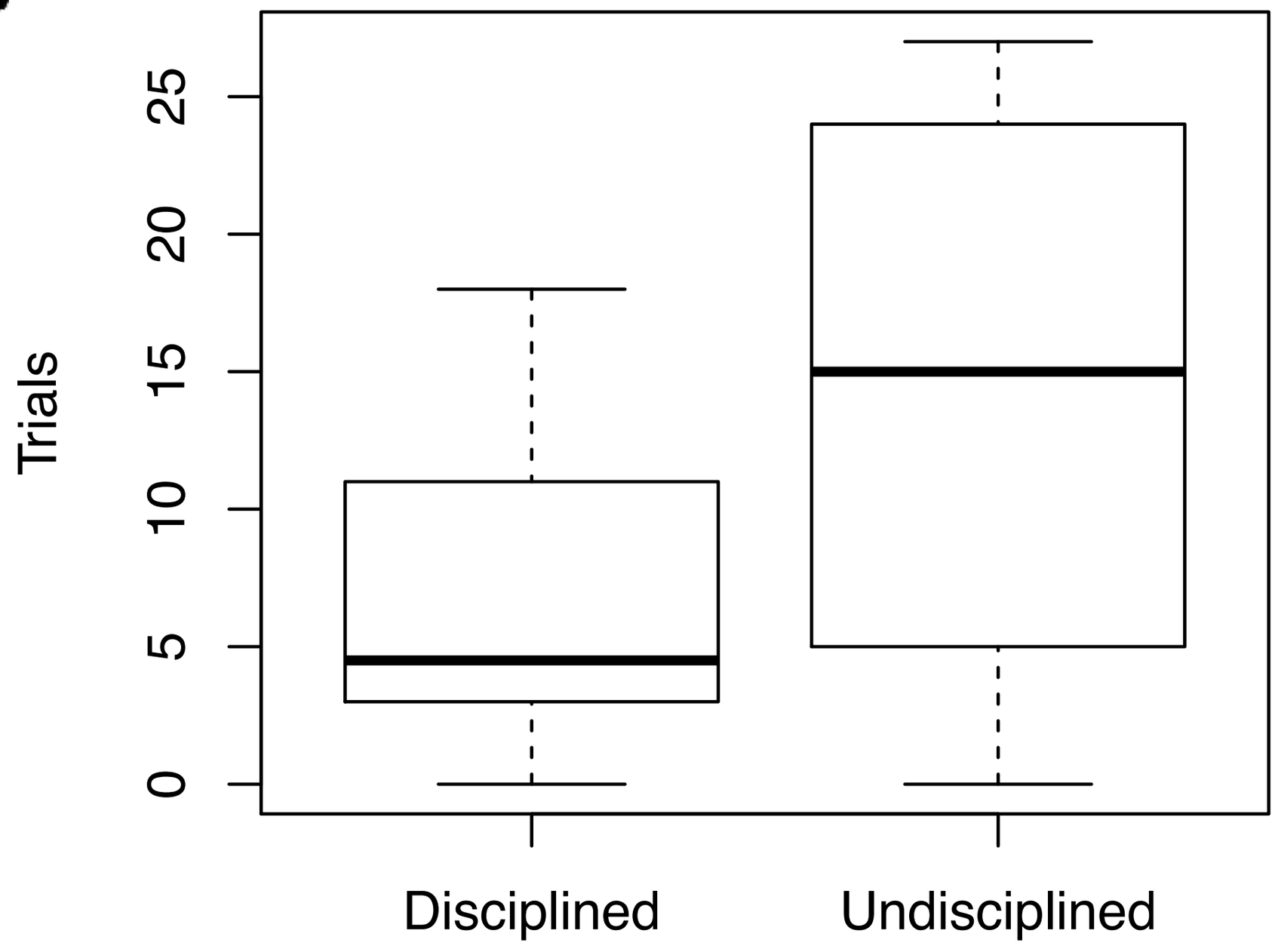
Linux Guidelines

*"Prefer to **compile out entire functions**, rather than portions of functions or **portions of expressions.**"*

We found the opposite



Significant difference!



Significant difference!

Refactoring Undisciplined Annotations

☑ Existing Refactorings…

```
1. #if defined (USE_ISPTS_FLAG)
2.   if (result) {
3. #endif
4.     result = open("/dev/ptmx");
5.     if (!result)
6.       strcpy(ttydev);
7. #ifdef USE_ISPTS_FLAG
8.   }
9. #endif
```

```
1.  #if defined (USE_ISPTS_FLAG)
2.    if (result) {
3.      result = open("/dev/ptmx");
4.      if (!result)
5.        strcpy(ttydev);
6.    }
7.  #else
8.      result = open("/dev/ptmx");
9.      if (!result)
10.       strcpy(ttydev);
11. #endif
```

✗ Code clone

✗ Lines of code

Catalogue of Refactorings

Bad Smells	Refactoring
Else inside directives	Else if wrappers
Incomplete if and while statements	Conditional statement wrappers
Case inside directives	Case wrappers
Alternative choice	Alternative statement wrappers
Incomplete else	If statements ending with an else
Incomplete conditions	Conditions
Incomplete commands	Returns and expressions
Incomplete arrays and enums	Data structure definitions
Incomplete function definitions	Function definitions
. . .	. . .

14 refactorings

```
1. if (condition1
2. #ifdef expression1
3.     && condition2
4. #endif
5. ) {
6.     // Statements..
7. }
```


```
1. bool test = condition1;
2. #ifdef expression1
3.     test = test && condition2;
4. #endif
5. if (test) {
6.     // Statements..
7. }
```

Precondition: original code is not using the variable test in this scope

✓ **Code clone**

✓ **Lines of code**


```
1.  #ifdef expression_1
2.      if (condition_1) {
3.  #else
4.      if (condition_2) {
5.  #endif
6.      // Lines of code..
7.  }
```

```
1.  bool test;
2.  #ifdef expression_1
3.      test = condition_1;
4.  #else
5.      test = condition_2;
6.  #endif
7.  if (test) {
8.      // Lines of code..
9.  }
```

Precondition: original code is not using the variable test in this scope

✓ **Code clone**

✓ **Lines of code** **+2**

```
1. type function(
2. #ifdef expression1
3.     type param_id
4. #endif
5. ) {
6.     // Statements..
7. }
```

```
1. #ifdef expression1
2.     #define PARAM type param_id
3. #else
4.     #define PARAM ""
5. #endif
6. type function(PARAM) {
7.     // Statements..
8. }
```

Precondition: original code does not define the macro PARAM in this scope

✓ **Code clone**

✓ **Lines of code**

? **Code understanding**

Evaluating the Refactorings

Frequency of application possibilities

Opinion of developers

Behavior preservation

Code cloning

1972

905

357

178

270

73

87

23

31

19

7K application possibilities

A

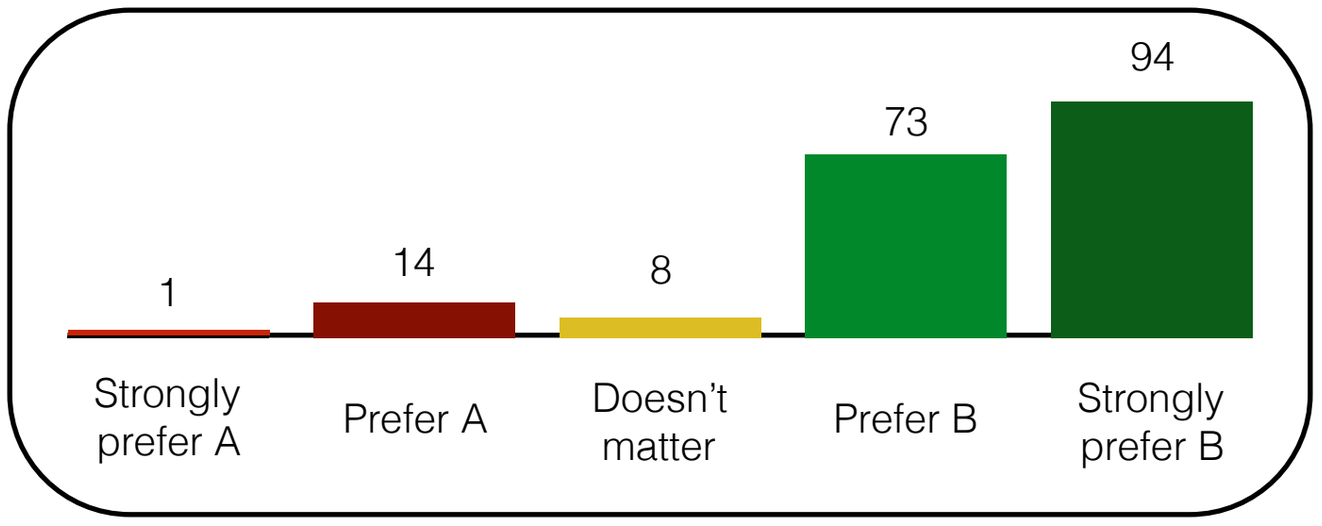
```
mfp = open(mf
#ifdef TS
   , (mode_t) 0600
#else
   , IR | IW
#endif
);
```

7%

B

```
#ifdef TS
mfp = open(mf, (mode_t) 0600);
#else
mfp = open(mf_name, IR | IW);
#endif
```

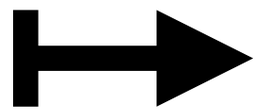
87%



A

```
bool exec = (bit < 8);
#ifdef TS
exec = exec && (r != NULL);
#endif
if (exec) {
    // STMTS
}
```

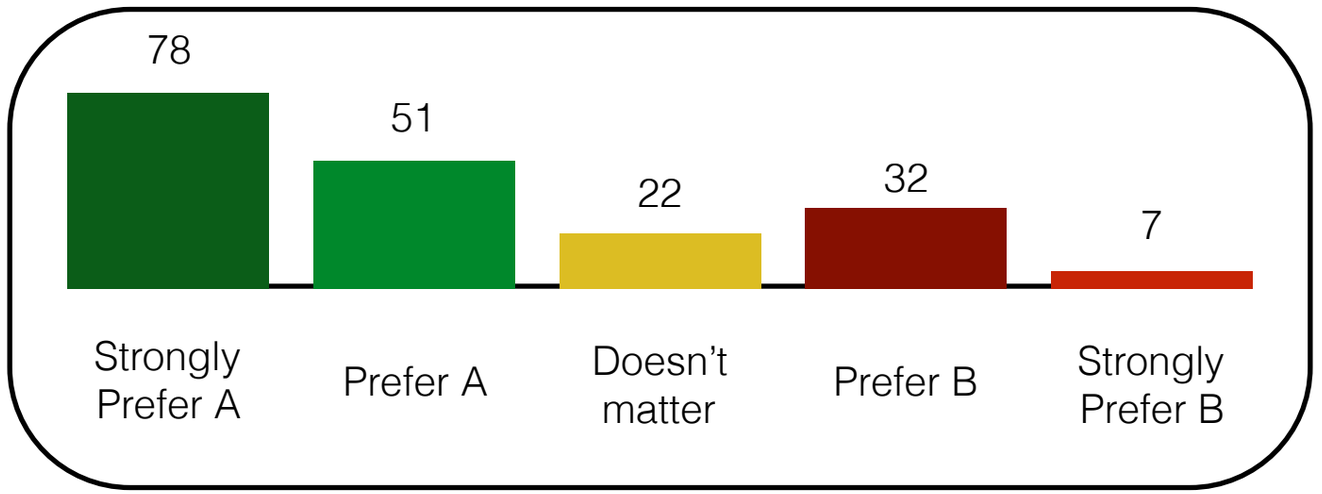
67%



B

```
if (bit < 8
#ifdef TS
    && r != NULL
#endif
) {
    // STMTS
}
```

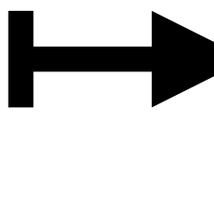
20%



A

```
#ifdef TS
#define PARAM Ct server,
#else
#define PARAM
#endif
void msgNetbeans(PARAM XT client) {
    // STMTS
}
```

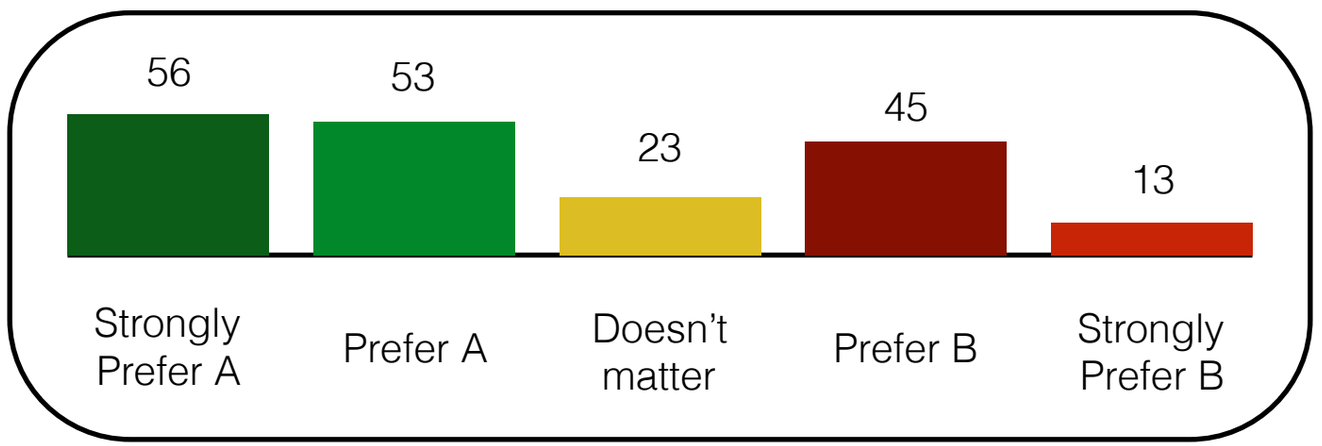
57%



B

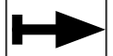
```
void msgNetbeans(
#ifdef TS
Ct server,
#endif
XT client) {
    // STMTS
}
```

30%



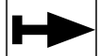
We received positive feedback from developers when submitting pull requests to discipline undisciplined annotations

```
1. #ifdef expression_1
2.     if (condition_1)
3. #endif
4. {
5.     // Lines of code..
6. }
```



```
1. bool test = 1;
2. #ifdef expression_1
3.     test = condition_1;
4. #endif
5. if (test) {
6.     // Lines of code..
7. }
```

```
1. if ( condition_1
2. #ifdef expression_1
3.       && condition_2
4. #endif
5. ){
6.     // Lines of code..
7. }
```



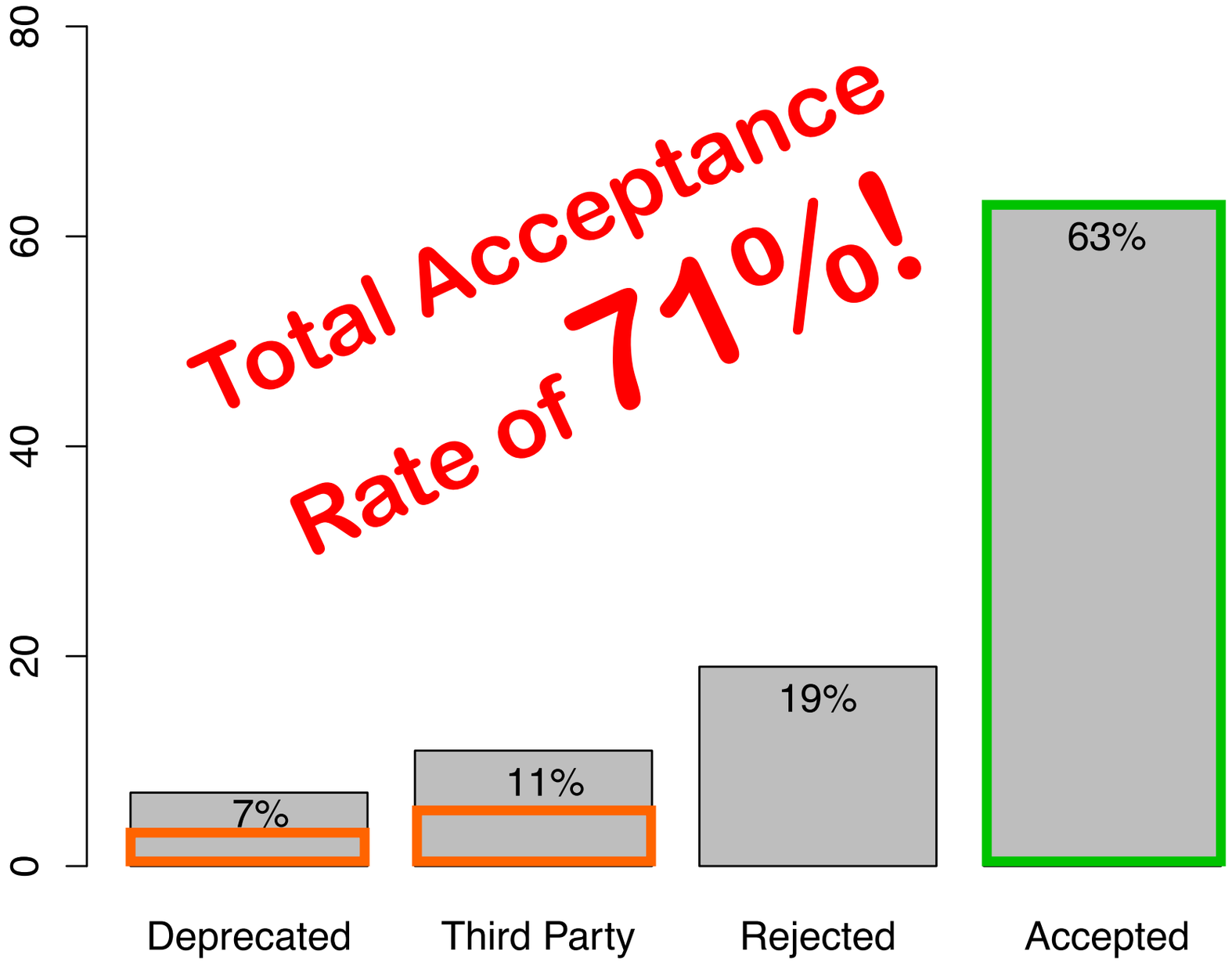
```
1. bool test = condition_1;
2. #ifdef expression_1
3.     test = test && condition_2;
4. #endif
5. if (test) {
6.     // Lines of code..
7. }
```

```
1. #ifdef expression_1
2.     if (condition_1){
3. #else
4.     if (condition_2){
5. #endif
6.     // Lines of code..
7. }
```

```
1. #ifdef expression_1
2.     bool test = condition_1;
3. #else
4.     bool test = condition_2;
5. #endif
6. if (test) {
7.     // Lines of code..
8. }
```

110 submitted pull requests. **99** decided!

110 different systems! (one per system!)

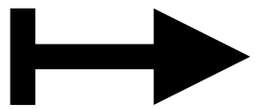


Might Accept + Accept

This is not required
Don't like your change
Prefer to save lines of code
No advantages
Improves readability
Third parties code
Not easier to read or understand
Thanks, merged
The change is an improvement
Stylistic changes
Deprecated
Bad variable name
Breaks the code
That's much better
Sorry, more serious issues to deal with
I want to make as few changes as possible

Token sequence

```
call (p1
#ifdef EXP
    , p2
#endif
);
```



```
#ifdef EXP
call (p1, p2);
#else
call (p1);
#endif
```

```
#define EXP
call <> p1 <> p2
```

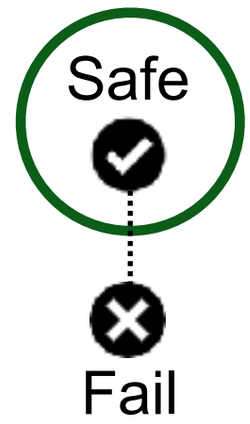
=

```
#define EXP
call <> p1 <> p2
```

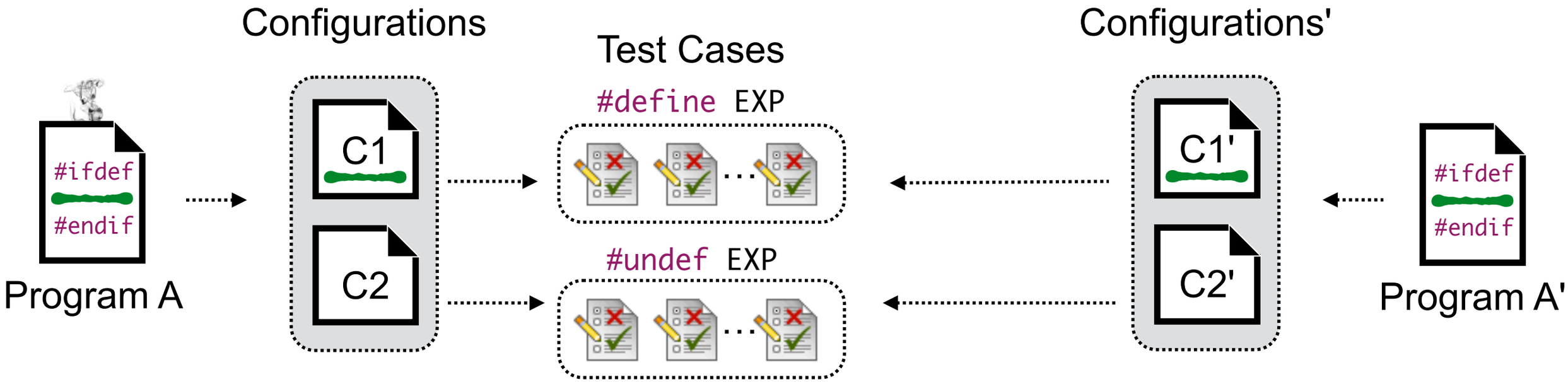
```
#undef EXP
 call <> p1
```

=

```
#undef EXP
 call <> p1
```



Test results

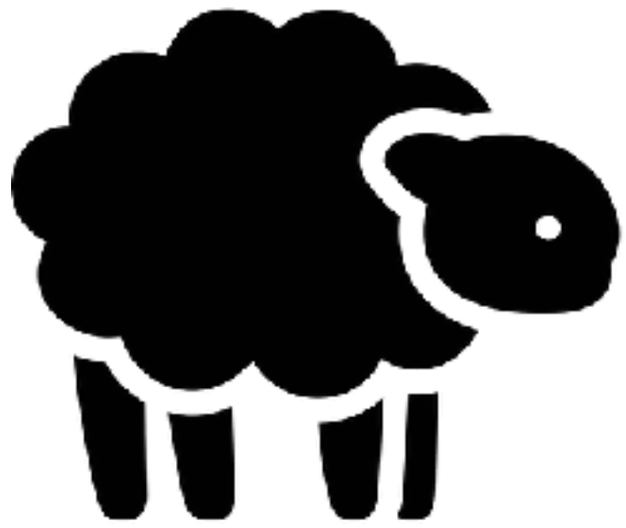


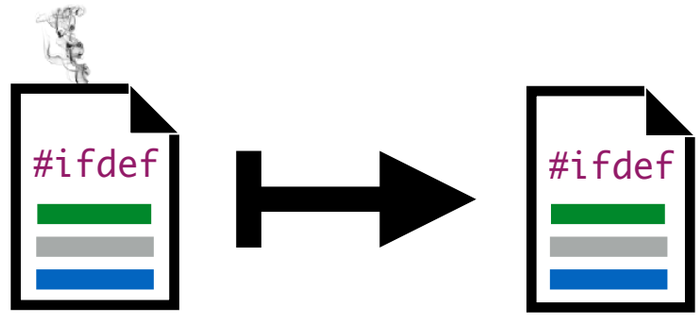
	Config	Input	Output
A	!EXP	10	20
A'	!EXP	10	20

We found and fixed a behavioral change in one refactoring of the catalogue

	R2	R3	R4	R5
Valid programs	7746	7723	14448	6700
Invalid programs	2254	2277	5452	2300
Valid refactorings	7746	7723	14448	6700
Behavioral changes	5	1	5	2

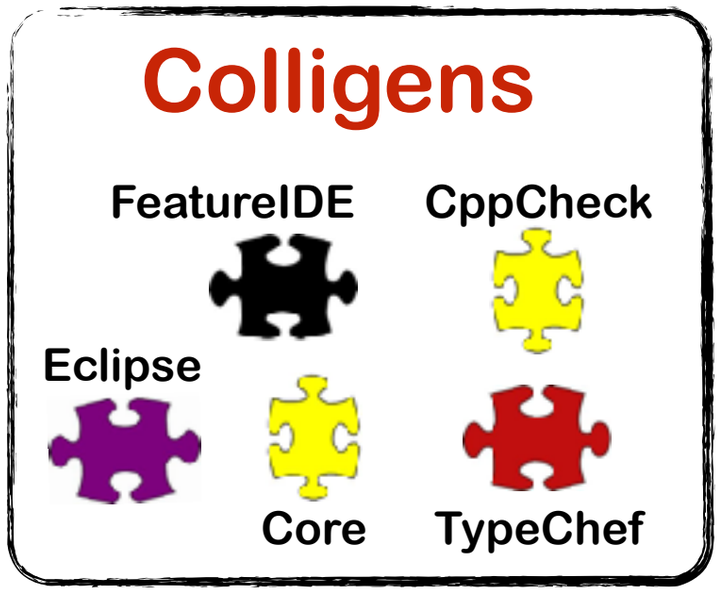
We found and fixed some behavioral changes in the implementation of refactorings





Project	Undisciplined
apache	178
bc	6
dia	31
expat	31
flex	16
fvwm	61
ghostscript	87
gnuchess	2
gzip	19
lighttpd	23
lua	6
mptris	17
Total	477

Clone	LOC	Directives
0	0,18%	2,21%
0	0,12%	0%
0	0,31%	4,06%
0	0,44%	3,87%
0	0,09%	0%
0	0,11%	3,35%
0	0,01%	0,95%
0	0,02%	0%
0	0,64%	4,03%
0	0,08%	1,18%
0	0,12%	3,11%
0	0,78%	3,05%
0	0,04%	2,1%



example1.c

Original Source

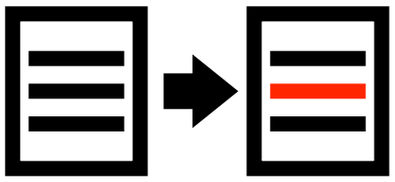
```
void test(){

    int row = 0;
    int current, first, last = 0;

    row = getRowNumber(current
    #ifdef A
        , first, last
    #endif
    );

}
```

Refactored Source

```
void test () {

int row = 0 ;
int current,first,last = 0 ;

#if defined(A)
  row = getRowNumber (current , first , last);
#endif

#if !defined(A)
  row = getRowNumber (current);
#endif

}
```

< Back Next > Cancel Finish

Ongoing work...



"If my memory serves correctly, it was just a refactoring to simplify the code and make it a little more understandable."

libpng

"Because using conditional for only part of one high level instruction makes it less understandable and is likely to raise issue over long time maintainance."

Libxml2

The Discipline of Preprocessor-Based Annotations

Does `#ifdef` TAG n't `#endif` Matter

and let TAG be disabled!

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